THE UNITED REPUBLIC OF TANZANIA

SHINYANGA DISTRICT COUNCIL



LAND TENURE IMPROVEMENT PROJECT (LTIP)

ENVIRONMENTAL AND SOCIAL MANAGEMENT PLAN FOR RURAL CERTIFICATION PROCESS IN SHINYANGA DISTRICT COUNCIL.



Prepared by: SHINYANGA DISTRICT COUNCIL

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DECLARATION

We, the undersigned hereby declare that the information provided in this document is true to the best of our knowledge and we shall provide any additional information that shall come to notice in the course of reviewing and approving this ESMP report.

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TABLE OF CONTENTS

LIST OF TABLESv
LIST OF FIGURESv
LIST OF ABBREVIATIONS AND ACRONYMSvi
CHAPTER ONE1
1.0 INTRODUCTION
1.1Background Information1
1.2 LTIP Project Scope in Shinyanga District Council
1.2.1 Detailed Settlement Plans (DSPs)2
1.2.2 Issuance of CROs
1.3 General Objectives of ESMP
1.4 Methodology for Preparation of ESMP
1.5 Screening results
CHAPTER TWO
2.0 BASELINE ADMINISTRATIVE, ENVIRONMENTAL AND SOCIAL
CONDITION OF THE PROJECT AREA
2.1 Introduction
2.2 Administrative Condition of Shinyanga District Council
2.3 Baseline Information and social condition in Shinyanga district council
2.3.1 People and Population
2.3.2 Land use pattern in Shinyanga district council
2.3.3 Economic activities
2.3.3 Climate
2.3.4 Topography and agro-ecological zones10
2.3.4 Soil types of Shinyanga District Council10
2.3.5 Social services
2.3.6 Road Infrastructure
2.4 Project Workforce Requirements:
2.5 Conflicts over Land resources:
2.6 GBV/SEA and Diseases Transmission:
CHAPTER THREE
3.0 LEGAL AND INSTITUTIONAL FRAMEWORK
3.1 Introduction
iii

Land Tenure Improvement Project (LTIP) - ESMP Report for Rural Certification Process in Shinyanga District Council

3.2 Country's Legal Framework to Guide Land Use Planning and Rural Certification
Processes in Shinyanga District
3.3 World Bank Environmental and Social Framework17
CHAPTER FOUR
4.0 ENVIRONMENTAL AND SOCIAL IMPACTS, RISKS AND MITIGATION
MEASURES
4.1 Introduction
4.2 Project Social Benefits
4.3 Negative Social Risks and Impacts of Land Use Planning and Rural Land
Certification
4.4 Project Positive Environmental Impacts of Land Use Planning and Land
Certification
4.5 Negative Environmental Impacts of Land Use Planning and Land Certification .22
4.6 Mitigation Measures of the Identified Impact
CHAPTER FIVE
5.0 MONITORING OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS
5.1 Introduction
CHAPTER SIX
6.0 INSTITUTIONAL ARRANGEMENTS FOR IMPLEMENTATION OF ESMP .42
6.1 Institutions
6.2 Supervision and Monitoring Roles
6.3 Capacity Development and Training
CHAPTER SEVEN
7.0 CONCLUSIONS AND RECOMMENDATIONS
7.1 Introduction
7.2 Conclusions
7.3 Recommendations

LIST OF TABLES

Table 1:Shinyanga District project coverage villages	2
Table 2: Main economic activities in Shinyanga District Council	9
Table 3:Agro-ecologocal zones in Shinyanga district council	10
Table 4: Mitigation Measures of Identified Impacts	24
Table 5: Social and Environmental Monitoring Plan	37
Table 6::Training conducted to Shinyanga E&S Team	43

LIST OF FIGURES

Figure 1: A map showing administrative wards in Shinyanga district council
Figure 2: A map showing distribution of wards in Shinyanga district council where
LTIP activities will be executed7

LIST OF APPENDICES

Appendix 1: Description of Wards in which LTIP will be executed	46
Appendix 2: E&S Safeguard Criteria for Selecting specific Project Areas in Shin	yanga
district Council.	49

LIST OF ABBREVIATIONS AND ACRONYMS

CD O					
CBO	Community Based Organization				
CCRO	Certificate of Customary Right of Occupancy				
CoC	Code of Conduct				
CRO	Certificate of Right of Occupancy				
DED	District Executive Director				
DEMO	District Environmental Management Officer				
DLHT	District Land and Housing Tribunal				
E&S	Environmental and Social				
EA	Environmental Assessment				
EIA	Environmental Impact Assessment				
EIAR	Environmental Impact Assessment Report				
EIS	Environmental Impact Statement				
EHSG	Environmental Health and Safety Guidelines				
EMA	•				
	Environmental Management Act 2004				
EMO	Environmental Management Officer				
ES	Environmental Screening				
ESCP	Environmental and Social Commitment Plan				
ESMT	Environmental and Social Management Team				
ESMF	Environmental and Social Management Framework				
ESMP	Environmental and Social Management Plan				
ESF	Environmental and Social Framework				
ESS	Environmental and Social Standard				
FPIC	Free, Prior and Informed Consent				
GDP	Gross Domestic Product				
GBV	Gender Based Violence				
GoT	Government of Tanzania				
GRM	Grievance Redress Mechanism				
HIV/AIDS	Human Immunodeficiency Virus/Acquired Immune- Deficiency				
ILMIS	Integrated Land Management Information System				
LGAs	Local Government Authorities				
LTAP	Land Tenure Assistance Project				
LTIP	Land Tenure Improvement Project				
LTSP	Land Tenure Support Project				
M&E	Monitoring and Evaluation				
MLHHSD	Ministry of Land, Housing and Human Settlement Development				
NEMC	National Environment Management Council				
NGO	Non-Governmental Organisation				
NSC	National Steering Committee				
OHS	Occupational Health and Safety				
OM	Operational Manual				
PCU	Project Coordinating Unit				
PLUM PO PALC	Participatory Land Use Management				
PO-RALG	President's Office Regional Administration and Local Government				
RL	Residential License				
RSCBWB	Ruvuma and Southern Coast Basin Water Body				

vi

Land Tenure Improvement Project (LTIP) - ESMP Report for Rural Certification Process in Shinyanga District Council

RPF	Resettlement Policy Framework
SEA	Sexual Exploitation and Abuse
SEP	Stakeholder Engagement Plan
TARURA	Tanzania Rural and Urban Agency
TNA	Training Needs Assessment
TOR	Terms of Reference
URT	United Republic of Tanzania
VEO	Village Executive Officer
VLUM	Village Land Use Management (Committee)
VLUP	Village Land Use Plan
VG	Vulnerable Groups
VGPF	Vulnerable Groups Planning Framework
WB	World Bank
WEO	Ward Executive Officer

vii

CHAPTER ONE

1.0 INTRODUCTION

1.1Background Information

The Government of Tanzania (GoT) through the Ministry for Lands, Housing and Human Settlements Development (MLHHSD) is implementing the Land Tenure Improvement Project (LTIP). The Project Development Objective (PDO) is to strengthen the national land administration system and increase tenure security in selected areas for both men and women. LTIP promotes land-based investments and ensures inclusion for social economic development in both urban and rural areas. Key project results indicators related to the PDO to increase tenure security include the registration of 1,000,000 Certificates of Rights of Occupancy (CROs), one million Residential Licenses (RL), and 500,000 Certificates should be registered under the name of women as sole owners, or co-owners. Project investments are also expected to support a reduction of at least 30 percent in the number of land conflicts, as well as an increase in perception of tenure security. Results indicators related to the PDO to strengthen the national land administration system include an increase of 20 percent in the number of CRO transactions, a reduction of the average time to issue CROs (first registration) from 180 days to 60 days.

1.2 LTIP Project Scope in Shinyanga District Council

The Shinyanga District Council is one of beneficiaries of LTIP activities. In Shinyanga district council the project is expected to support the preparation of Village Land Use Plans (VLUP) and Detailed Settlement Plan (DSP) covering the settlement part of the villages, as well as the issuance of CROs, renovation/construction of district and village land offices. This ESMP is prepared specifically to guide the preparation of VLUP, DSP and issuance of CROs activities covering nine (9) wards and forty-eight (48) villages. In Shinyanga District Council, the preparation of VLUPs, DSPs and the issuance of CROs is expected to involve the following activities:

S/N	STREET	WARD	AREA (HA)	POPULATIO N (NBS, 2022)	H/HOLD	HOUSES	
1.	Uswahilini	Tinde	405	2,200	550	900	
2.	Jonni	Tinde	566.53	2,300	1,170	575	
3.	Nyambui	Tinde	476.31	2,890	1,019	578	
4.	Bugeta	Tinde	597.04	2,600	516	650	
5.	Majengo	Didia	501.23	35,00	542	732	
6.	Danduhu A	Didia	623	1,494	418	298	
7.	Danduhu B	Didia	456	1,345	448	269	
8.	Madukani	Didia	335	1,209	403	302	
9.	Mjini	Didia	320	2,750	917	687	
10.	Lohumbo	Puni	436	3,500	1,166	437	
11.	Mwakitolyo	Mwakitolyo	954	30,818	4,842	4,402	
12.	Ishinabulandi	Samuye	598	2,700	1,235	540	
13.	Solwa	Solwa	507	28,88	3,748	577	
14.	Manheigan	Solwa	450	4,200	1,400	700	
15.	Songambele	Salawe	356	2,477	4,253	412	
16.	Usanda	Usanda	890	26,00	3,145	520	
17.	Busamke	Iselamagazi	457	1,570	523	392	
19.	Majengo	Iselamagazi	342	2,340	780	585	
20.	Kanengelo	Iselamagazi	569	1,250	416	312	

Table 1:Shinyanga District project coverage villages.

1.2.1 Detailed Settlement Plans (DSPs)

There are 126 villages formally registered in Shinyanga district. LTIP is expected to support the preparation detailed settlement plans (DSPs) in 20 streets and issuance of CROs. The preparation of DSPS will involve awareness creation and capacity building for the Participatory Land Use Management Team (PLUM); conduct village assembly and formulation of Village Adjudication Committee (VAC); preparation of baseline information which include resource assessment and preparation of DSPs; validation and approval of the proposed DSPs by street assembly; endorsement of the DSPs by the district council and regional land commissioner. For each DSP, LTIP will support an adequate assessment of E&S implication and the formulation of advice for addressing these in ways that are consistent with the World Bank's Environmental and Social Framework (ESF).

1.2.2 Issuance of CROs

The process of issuance of CROs is detailed in the LTIP CROs mannual and shall involve Six (6) major activities namely:

- Public awareness and engagement of marginalized groups (for example people with disabilities and old people);
- ii. Employing and Training of para- surveyors/private companies;
- iii. Parcels adjudication;
- iv. Preparation of DSP (regularization layout);
- v. Block Planning and Negotiation of road accessibility
- vi. Printing and issuing CROs.

The aforementioned activities involved in the issuance of CRO have potential to cause environmental and social (E&S) risks and impacts. To address the potential E&S risks and impacts the Project has prepared this Environmental and Social Management Plan (ESMP) for Shinyanga District Council.

1.3 General Objectives of ESMP

The preparation of the Shinyanga DSPs and issuance of CROs have potential to cause E&S risks and impacts. The Shinyanga district council ESMP is a tool for identifying, mitigate, and monitoring the E&S impacts associated with these activities. Specifically, it depicts how the organizational capacity and resources will be utilized to assess these impacts, define mitigation measures, and implement them when appropriate. Therefore, the Government's implementation team, as well as Non-Governmental Organizations (NGOs/CSOs) that are expected to be hired under LTIP to support rural land certification, will implement project activities in accordance with this ESMP.

The preparation of this ESMP is consistent with the Project's Environmental and Social Management Framework (ESMF) and aims at attaining the following objectives:

- i. Identify potential E&S risks and impacts associated with certification activities support by LTIP;
- ii. Develop mitigation/enhancement measures to minimize E&S risks and impacts
- iii. Assess the capacity of the implementation agencies and develop plans for training and other capacity building activities

- iv. Define implementation arrangement and organization structure of ESMP implementation including assessment of the implementation capacity of the implementing agencies (LGA/private companies)
- v. Identify the parameters to be monitored and the respective tools that are used in monitoring and reporting.

1.4 Methodology for Preparation of ESMP

This ESMP has been prepared by the district Participatory Land Use Management Team (PLUM) of Shinyanga District Council in collaboration with the LTIP - ESMT through the following activities.

- a) Undertake an E&S screening to determine risks and impacts associated with the certification process using: (i) Annex 4 of the ESMF on Screening Checklists for environmental and social issues; (ii) Annex 4: Environmental and Social Safeguards Criteria for Selecting Project Specific Areas; and (iii) Annex 5: Terms of Reference for the preparation of the ESMP. Annex 1 for this ESMP on villages with existing VLUP
- b) Define mitigation, enhancement and monitoring measures for the identified impacts;
- c) Validation of mitigation, enhancement and monitoring measures through stakeholders' engagement.
- d) Finalization of ESMP report, and sharing with wider stakeholders, including ESMP publication on the LTIP website.

1.5 Screening results

This section presents the results of the Environmental and Social Screening conducted for the Land Tenure Improvement Project (LTIP) in the Shinyanga District Council, Tanzania. Screening was carried out using the E&S Safeguard Criteria established by the project for selecting specific project areas. This was performed using the screening form found in the ESMF guiding document (attached in Annex 6), which assessed the potential environmental and social impacts of the LTIP in the selected Songwe area.

CHAPTER TWO

2.0 BASELINE ADMINISTRATIVE, ENVIRONMENTAL AND SOCIAL CONDITION OF THE PROJECT AREA

2.1 Introduction

The baseline environmental and social conditions of Shinyanga district describe biophysical and social issues which are likely to be affected, trigger conflicts or are of biological importance in the district. The biophysical and social issues in Shinyanga necessitates for the project to ensure that mitigation measures are put in place to avoid risks and impacts to the communities. The main and indigenous ethnic group in Shinyanga is Sukuma. Sukuma tribe in Shinyanga district council constitute almost 85 percent of the entire population. Other ethnic groups are Wahaya, Nyamwezi, Kurya, Wajita and Waha. Religious groups available in Shinyanga are mainly Christians, Muslims and few adhere to traditional beliefs. The majority of residents produce both food and cash crops where food crops are maize, cassava, paddy, beans, sweet potatoes and vegetables/fruits. Cash crops are cotton, sunflower, paddy and groundnut. The dominant economic activities in the district is livestock keeping and agriculture being practiced by majority of the community. Other economic activities are trade and mining.

2.2 Administrative Condition of Shinyanga District Council

Shinyanga district council is one of the six district councils in Shinyanga rregion, other councils in Shinyanga region are Shinyanga municipal, Kahama municipal, Ushetu district council, Msalala district council and Kishapu district councils. Shinyanga district council is bordered to the east by the Kishapu district and Shinyanga municipal councils, to the west by the Kahama and Geita districts and to the north by Kwimba district and to the south by Nzega distric. The district lies between latitude 30.20' and 30.95' south of the equator and between longitudes 320.30 and 330.30 east of Greenwich Meridian south of Lake Victoria.

Administratively, Shinyanga district council is divided into three divisions, namely Itwangi, Nindo and Samuye which are sub-divided into 26 wards, 126 registered vvillages and 856 sub villages (hamlets). The council has one electoral Constituency (Solwa Constituency) and one Member of Parliament. Figure One (1) below shows the administrative map for Shinyanga District Council.



Figure 1: A map showing administrative wards in Shinyanga district council **Source:** Shinyanga district council, November, 2023

6



Figure 2: A map showing distribution of wards in Shinyanga district council where LTIP activities will be executed



Land Tenure Improvement Project (LTIP) - ESMP Report for Rural Certification Process in Shinyanga District Council

2.3 Baseline Information and social condition in Shinyanga district council

Baseline information provides an analysis of existing land use pattern, population, and physical conditions found within the Council. This section covers environmental context analysis including climate, soils, topography and agro-ecological zones.

2.3.1 People and Population

Based on the population census reports of 2022, Shinyanga district council has a population size of 468,611 (232,524 males and 236,087 females) with a growth rate of 2.4%. Shinyanga district council has 79, 706 households and average household size of 5.9.

2.3.2 Land use pattern in Shinyanga district council

Shinyanga district council covers an area of 4,212 square kilometers, about 1.7% of the total area is covered by forest reserves. An area of about 1,713.7 km² (40.6%) is used for agricultural activities, 2,096.8 km² (49.7%) for cattle herding and 210.6 km² (5%) for people's settlement. The remaining area of 118 km² (2.8%) of land is of little economic use as it is composed of gully and rocks. Basically, here is the primary land uses in Shinyanga District

Agriculture: This is the predominant land use in Shinyanga District. The main crops grown include maize, rice, sorghum, millet, cotton, and sunflowers. Both subsistence and commercial farming are practiced, with smallholder farms being the most common.

Livestock Grazing: Livestock farming, including cattle, goats, sheep, and poultry, is significant in Shinyanga. Grazing lands are extensive, and pastoralism is a vital economic activity for many residents.

Forestry: There are areas designated for forestry, including both natural forests and planted woodlots. These areas provide timber, fuelwood, and other forest products.

Human Settlements: Villages and towns are scattered throughout the district, with land allocated for residential purposes. Urban areas in the district have more concentrated settlements, while rural areas have dispersed homesteads.

Water Bodies and Wetlands: There are several rivers, lakes, and wetlands within the district that are crucial for irrigation, fishing, and as water sources for domestic and livestock use.

Mining: Shinyanga is known for its mineral resources, including gold and diamonds. Small-scale mining activities are common, and there are areas designated for mining operations.

Infrastructure: Land is also used for infrastructure development, including roads, schools, health facilities, and administrative buildings.

Conservation Areas: Some parts of the district are designated as conservation areas to protect wildlife and natural habitats.

2.3.3 Economic activities

Agriculture is the main stream of economy in Shinyanga district council. Other economic activities include livestock keeping, small scale mining and quarrying, petty business, public administration, education sectors and others as shown in table 2.

Table 2: Main economic activities in Shinyanga District Council.

S/N	Activity	Percent
1.	Agriculture and livestock keeping	88.8
2.	Forestry, fishing and related activities	6.31
3.	Small scale mining and quarrying	0.98
4.	Petty business	0.89
5.	Public administration and education sectors	0.85
6	Others	2.17

Source: Shinyanga district council Profile, 2023

2.3.3 Climate

Shinyanga district council experiences a dry tropical climate. The mean annual rainfall ranges from 450 mm to 990 mm; and normally rainfall commences in October or 9

November and ends up in April or May. In most cases, rainfall is normally inadequate and poorly distributed. Rainfall inadequacy and poor distribution act as an obstacle for crop farming and livestock rearing in the ddistrict. Temperature fluctuates between day and night as well as from one season to another. The extreme low temperature (18° C) occurs during cool and dry seasons, particularly from June to July. On the other hand, high temperature (26° C to 35° C) occurs from September to October (Shinyanga District Council Profile, 2023).

2.3.4 Topography and agro-ecological zones

Shinyanga district council is characterized by a flat and gently undulating plains covered with low and sparse vegetation. Ecologically, the council is divided into three agroecological zones based on dominant soil types and major crops grown as shown in table3. Table 3:Agro-ecologocal zones in Shinyanga district council

S/N	Division name	Dominant soils	Major crops grown		
1.	Nindo and Itwangi	Light loamy soils	Maize, paddy, and cotton		
2.	Samuye	Light loamy red soils	Sorghum, cotton, maize and paddy		
3.	Itwangi	Sandy and heavy soils	Cotton, groundnuts, paddy and maize		

Source: Shinyanga district council Profile, 2023

2.3.4 Soil types of Shinyanga District Council.

Shinyanga District is located in the semi-arid region of Tanzania, has diverse soil types influenced by its climatic conditions, topography, and geological features. The main soil types in Shinyanga District include:

Sandy Soils: Predominantly found in the eastern parts of the district, these soils have low fertility and water-holding capacity. They are primarily used for growing drought-resistant crops such as millet and sorghum.

10

Clay Soils: These are found in various parts of the district and are characterized by their high nutrient content and good water-holding capacity. Clay soils are suitable for cultivating crops like rice, maize, and cotton.

Loamy Soils: Loamy soils, which are a mix of sand, silt, and clay, are found in several areas of the district. They are considered ideal for agriculture due to their balanced texture, fertility, and drainage properties. Crops such as maize, beans, and vegetables are commonly grown on loamy soils.

Black Cotton Soils (Vertisols): These soils are found in the lowland areas and are characterized by their dark color and high clay content. They swell when wet and crack when dry, making them challenging to work with. However, they are very fertile and suitable for crops like cotton and rice.

Red Soils (**Ferralsols**): Found in upland areas, red soils are well-drained and rich in iron and aluminum oxides. They are moderately fertile and are used for growing crops such as groundnuts, maize, and tobacco.

Alluvial Soils: These soils are found along river valleys and floodplains. They are formed from deposited sediments and are usually fertile, making them ideal for intensive agriculture, particularly for rice and vegetable cultivation.

The soil types in Shinyanga District are influenced by various factors, including parent material, climate, vegetation, and topography. Effective soil management practices are essential to maintain soil fertility and support sustainable agricultural production in the district.

2.3.5 Social services

Shinyanga district council has primary and secondary schools, health centers, churches, mosques and market which are located in all 26 wards, owned by the government, private sector and religious institution (distribution of social services has explained in attached annex 1). Provision of land parcels for social services such schools, health centers, churches, mosques and markets is critical for the LTIP and necessitate the project to ensure

that these facilities are identified and provided with the CROs to improve their tenure security. Source of energy is electricity supplied by TANESCO.

2.3.6 Road Infrastructure

Road transportation is the main means of transportation of people and various goods within and outside Shinyanga district council. It is one of the key sub-sectors which are responsible for sustainable development and poverty reduction in the council. A high-quality road network is essential not only for connecting key urban centres, but also for improving connectivity of more isolated local communities for whom many public transport options are limited or not available. In the council there are roads that are maintained by the central government (trunk or regional roads), roads that are maintained by Tanzania Rural and Urban Agency (TARURA) (district or feeder roads) and rest of the roads are mostly maintained by villages/communities (peripheral roads or feeder roads). During land parcel adjudication, the LTIP beneficiary communities will be sensitized to donate part of their land for roads infrastructure or road reserves buffer zone.

2.4 Project Workforce Requirements:

Land certification process in Shinyanga district council will require workforce. This situation is likely to attract influx of people in search of employment from within and outside the district council. The presence of internal and external movement of people necessitates for the LTIP to pay attention on labor management and eligibility for land rights during issuance of CROs.

2.5 Conflicts over Land resources:

The district also experiences land use conflicts among the individuals, the most persisting conflict is land conflict related to women's land rights. This is facilitated by indigenous tribe (Sukuma tribe) norms and culture where women have been denied for land ownership believing that women will acquire land through their husband. LTIP requires to recognize relevant existing land ownership and closely observe ESS5 and ESS10 requirements and provisions during DSPs and land certification activities.

2.6 GBV/SEA and Diseases Transmission:

Like other areas in Tanzania, HIVI/AIDs is prevalent in Shinyanga District. Proposed project activities including the preparation of PLUM, VACs and issuance of CROs will increase interactions between project workers and local community which is likely to trigger social issues such as GBV/SEA and spread of HIV/AID. In order to avoid GBV/SEA, spread of HIV and AIDS as well as conflicts between project workers and the community the project will require all workers to sign code of conduct (CoC).

CHAPTER THREE

3.0 LEGAL AND INSTITUTIONAL FRAMEWORK

3.1 Introduction

This chapter describe relevant legal and institutional framework governing the preparation of DSPs and issuance of CRO in Shinyanga district council. The focus has been made on legislation which provide environmental and social provisions and requirements relevant for the Project. The legislation described in this chapters are those which provide guidance to the project and can be made actionable to assist the project on the management of E&S risks and impacts.

3.2 Country's Legal Framework to Guide Land Use Planning and Rural Certification Processes in Shinyanga District

The Environmental Management Act (EMA) 2004:

The Act provide guidance for regulation process in sensitive areas such as rivers, lakes, wetlands, forest areas, and wildlife resource among others. The Act provides a legal framework for coordinating harmonious and conflicting activities by integrating those activities into overall sustainable environmental management system by providing key technical support to Sectoral Ministries. The EMA will be applicable by the LTIP team in Shinyanga district council during identification of national parks and preparation of DLUPF and VLUP. Specifically, LTIP project in Shinyanga District Council will adhere to 60m buffer zone requirements when issuing CROs.

The National Land Act, No. 4 and 5 of 1999

The Land Act (1999 recognize that all land in Tanzania belongs to the public, and the President acts as the trustee of the land for the benefit of the people (Land Act, S. 1(1)(a)). The Land Act classifies all land in Tanzania into three categories: (1) Reserved Land, (2) General Land, and (3) Village Land (S. 4(4)). The first two categories are governed under the provisions of the Land Act and its regulations. About 68% of all

land is Village Land; 30% is Reserved Land and only 2% is General Land in the Country.

These Acts among other things outlines, procedure for land administration, allocation, acquisition, schemes of regularization, land registration and certification, compensation and resource management in both urban and rural areas. The Land Acts contain provisions of critical environmental importance and modalities for stakeholders' engagement through meeting and public hearing. Both Acts translates the fundamental principles of land policy into the body of the law. One of these fundamental principles is to ensure that land is used productively and that any such use complies with the principles of sustainable development. The preparation of VLUP and the issuance of CROs will be conducted in accordance with the provisions and requirement stipulated in Land Act. Section 32 (1) and section 33 of the land use planning Act No. 6 of 2007 provides directives and requirements for the preparation of both DLUFP and DSPs.

The land use planning Act, 2007

LTIP shall prepare the VLUP in accordance to this Act, the act provides that the village must have defined boundaries and gazetted under the GN and described in Village Certificate issued by Commissioner of Land; This act is making reference to other acts especially the Land Act No 4 and 5 to guide the entire process of Land use planning practice in Tanzania.

The Employment and Labor Relations Act, No. 6 of 2004

The Act provide labour rights and protections particularly on Child labour, forced labour and discrimination in the working place and freedom of association. The act prohibits child labour it provides that no child under the age of 14 shall be employed. LTIP will ensure equality in employment, forbid child labour and provide valid employment contracts to direct and indirect workers. The employment contracts for direct and indirect teams will ensure compliance to basic employment standards which include: i) Wage determination that stipulates a minimum term and condition of employment (ii) An employment standard constitutes a term of a contract with an employee unless -a term of the contract contains a term that is more favorable to the employee; and a provision of an agreement alters the employment standard to the

extent permitted by the provisions and iii) a provision of any collective agreement, a written law regulating employment, wage determination or exemption granted under section 100. The law also requires provision for health insurance and joining to National compensation funds for labour on employment beyond six months.

The HIV and AIDS (prevention and Control) Act, No. 28, 2008

Made under section (9) every employer in consultation with the ministry shall establish and coordinate a workplace programme on HIV and AIDS for employees under this control and such programme shall include provision of gender responsive HIV/AIDS and education, Distribution of Condoms and support to people living with HIV/AIDS. LTIP project team shall be responsible for providing education, Condoms and awareness on HIV and AIDS for the purpose of control the spread of HIV to workers and communities around the project area.

The Urban Planning Act of 2007:

This is the principal legislation which govern urban planning. The LTIP will prepare detailed planning schemes; undertake public and other stakeholder's engagement; and subsequent facilitate approval of scheme of regularization as stipulated in this Act. The project will also spearhead preparation of environmental and social assessment of the proposed scheme of regularization.

The Occupational Health and Safety Act, No. 5 of 2003:

The law requires employers to provide a good working environment to workers in order to safeguard their health. The LTIP will ensure the implementation of this Act through training to drivers to eradicate incidences and accidents, provide appropriate Personal Protective Equipment (PPE) and welfare facilities such as tents, drinking water and toilet to the direct and indirect implementing teams during preparation of DLUPF, VLUP and the issuance of CROs.

Public Health Act of 2012:

The act stipulates need to consolidate public health through prevention of disease, promotion, safeguard, maintain and protect the health of humans and animals. The presence of LTIP workers may result in the risk of disease transmission and will be addressed through conducting HIV/Aids campaign, provision of hand washing facilities, condoms and dustbins.

Water Resources Management Act No. 11 of 2009:

Water Resource Management Act No. 11 of 2009 is the principal legislation governing the utilization and pollution control of the water resources. Specifically, the objective of this Act is to ensure that, water resources are protected, used, developed, conserved, managed and controlled for sustainable development. The LTIP will identify boundaries of streams, rivers and other water sources in Shinyanga District Council and ensure that such uses are included during preparation of DLUPF and VLUP and will not issue CROs in such areas to enhance management of water resources.

3.3 World Bank Environmental and Social Framework

Project ESMF has identified ESS1, ESS2, ESS3, ESS4, ESS5, ESS6, ESS7, ESS8, and ESS10 to be applicable to the LTIP. However, for Shinyanga District Council the following ESSs are applicable and this ESMP describe how specific ESSs will be complied with during preparation of DLUPF, VLUM and the issuance of CROs:

ESS1: Assessment and Management of Environmental and Social Risks and Impacts.

This standard involves screening environmental and social risks and impacts to determine their level and magnitude. For Shinyanga, an Environmental and Social Management Plan (ESMP) is prepared to mitigate identified risks and impacts. The ESMP also includes measures to monitor the effectiveness of these mitigation strategies and enhance the benefits of the project.

ESS2: Labor and Working Conditions

This standard ensures that workers, both direct and indirect, receive valid employment contracts and adequate occupational health and safety (OHS) measures, including personal protective equipment (PPE) and welfare facilities. It also involves providing training on HIV/AIDS and raising awareness about occupational safety and services.

ESS4: Community Health and Safety 17

This standard focuses on raising community awareness about the project and its health risks and impacts, providing HIV/AIDS training to both direct and indirect project workers, and educating the community on road safety and accident risks to enhance overall community safety.

ESS5: Land Acquisition, Restriction on Land Use, and Involuntary Resettlement This standard involves informing the community about the project's land requirements for access roads and community facilities like schools, health centers, markets, and recreational areas. It also outlines the land donation or acquisition requirements and procedures as per the Resettlement Policy Framework (RPF)

ESS6: Biodiversity Conservation and Sustainable Management of Living Natural Resources

This standard ensures that certification will not occur in reserved or conservation lands or areas with significant biodiversity, using guidelines from Annex 6. It also involves identifying the boundaries of reserve lands and water bodies to protect these ecological resources.

ESS10: Stakeholders Engagement and Information Disclosure

This standard focuses on informing the community about the project, establishing and implementing a Grievance Redress Mechanism (GRM), and setting up both District and National Stakeholders Engagement Forums (DSEF and NSEF) to facilitate ongoing communication and address concerns.

A legal gap analysis between the national laws and the applicable ESSs has been provided in the Project ESMF. Wherever there are differences between the national laws and ESSs, the more stringent applies.

CHAPTER FOUR

4.0 ENVIRONMENTAL AND SOCIAL IMPACTS, RISKS AND MITIGATION MEASURES

4.1 Introduction

Based on environment and social (E&S) baseline condition of the project area, the E&S assessment has identified the following likely project benefits, risks and impacts:

4.2 Project Social Benefits

In Shinyanga District the LTIP will create the following benefits:

Security of Tenure: Issuance of CROs will enhance security of tenure to the individual, community member and institutions. For instance, issuance of CROs to the group of people owning jointly grazing lands will protect such resources from individual encroachment and appropriation.

Capital Creation: Individuals and communities have potential to use CROs as collateral to access capital from financial institutions because have legal representation. This will help to accumulate capital which will be invested in other productive economic activities which will stimulate development within Shinyanga district.

Reduction of Cost Associated with Informal Land Transaction: The provision of CROs to Shinyanga district council communities will enhance reliability in land transaction. CROs serve as evidence of ownership of land with clear size and boundaries. Equally, it will discourage the practice of multiple sales of the same land to different buyers thus reducing land related conflicts.

Employments Opportunities: Rural certification activities in Shinyanga district will require workforce to perform different activities. In total the project will employ approximately over 70 People both skilled and unskilled.

4.3 Negative Social Risks and Impacts of Land Use Planning and Rural Land Certification

The following are identified negative social risks and impacts associated with LTIP regularization activities in Shinyanga District Council.

- i. Preparation of DSPs: LTIP will ensure that the villages have no contradicting GN before preparation of VLUP failure to do so is likely to cause conflicts between community and other institutions. Some decisions made as part of the VLUP preparation may also have E&S impacts. These will be assessed, and mitigation measures will be proposed as part of the DSPs preparation process.
- ii. Crosscutting Impacts due to Land use planning and rural land certification

Conflict over land ownership and rights: In project areas people live without proper identification of their areas, land size and boundaries with neighbors. During adjudication process the chances of not agreeing to the boundaries might lead to conflict over land use and the conservation authorities. In addition, some conflicts might involve proving evidence on who are legal owner of the land parcels to be issued with CROs. Such cases are likely to happen especially in bouldering land parcels, extended and polygamous families, inherited land parcels and on land parcels which people have contested interest and ownership rights.

Similarly, individuals and communities residing close or who have encroached conservation areas might require CROs on such land thus leading to conflict with authorities.

Ineligibility to CROs: According to the Annex 6 of the ESMF and the CRO Manual guiding certification process, communities residing within road reserves, protected areas and other sensitive areas are not eligible for CROs. Such areas are reserve lands and are restricted from human settlements and other social economic activities. Considering that land use restriction may be defined or formalized as part of the VLUP process (for example; establishment of village forest reserves and/or village grazing land), there is a possibility that some individuals using these areas may lose access rights and/or may find out that they are ineligible for CROs. Community members falling under such circumstances might consider having been denied project benefits

related to CROs. Some may also question the criteria used to establish land use restrictions as part of the VLUP process.

Inequalities for Women and other Marginalized Group: Marginalized groups such as elders, chronically ill people and the youth have less chances to get CROs due lack of project information, and this could formalize inequalities between men and women regarding access to CROs.

Gender Based Violence and Sexual Exploitation and Abuse (SEA): In Shinyanga, community members with access to project resources such as employment, income and power over others might subject subordinates, children, spouses, and people from low-income status to GBV and SEA.

Influx of Laborers: Mass rural certification will involve large number of workers from within and outside the project areas. Interactions of project workers among themselves and local community are likely to accelerate the spread of STI, crimes as well as over burdening of available social services.

Inaccessibility of Project Sites/Traffic accidents: Large section of Shinyanga district is served with gravel and dirty roads which limits transportation especially during rainy season. Land certification process is likely to delay during rainy seasons and the issue of health and safety due to accidents.

Physical and Economic Displacement: The certification and registration process may require land. This land may already be used by the community or households for a range of uses (housing, economic activities, grazing land, businesses etc). Where land is acquired or donated this may result in the economic resettlement of households with associated impacts to livelihood activities and household incomes. Physical resettlement for land regularisation will not be undertaken.

4.4 Project Positive Environmental Impacts of Land Use Planning and Land Certification

The positive environmental impacts of this project in Shinyanga District Council include;

Enhancement of protection of sensitive areas and minimization of Conflicts: Shinyanga district plays an important role in conservation. DSPs and the issuance of CROs will recognize all protected areas which will reduce their encroachment and conflicts between local community and conservation authorities.

4.5 Negative Environmental Impacts of Land Use Planning and Land Certification The major negative environmental impacts of regularization process in Shinyanga District Council are:

Encroachment of Sensitive Areas: Important conservation areas such as major ecosystems water source reserves and forest reserves require to be protected. Inadequate and failure to recognize such areas during rural certification might lead to their encroachment.

Soil Erosion and Solid Waste Generation: Installation of the beacons may result in localized soil erosion due to the presence of loose soil around the beacon. Also, fabrication of beacons activities will involve sourcing materials from quarries and borrow pits such as gravel, sand, which may result in land degradation and soil erosion. This includes OHS risks of workers of primary suppliers. In addition, during certification process project workers will generate solid and liquid wastes such as plastic, food and human waste leading to land pollution such as oil spill during car maintenance.

Health and Safety Hazards: Fabrication, transportation and subsequent installation of beacons might lead to incidences and accidents causing injuries and fatalities to workers and community members.

4.6 Mitigation Measures of the Identified Impact

This section describes mitigation measures for the project adverse risk and impacts and proposed measures for enhancing positive one as well as associated costs. Table 4 shows impacts and mitigation matrix for rural certification for Shinyanga District. It details the proposed impacts, mitigation measures, responsible party, timeframe and costs that will be overseen and managed by LTIP project implementation team.

Table 4: Mitigation Measures of Identified Impacts

S/n	Risks and Impacts		Cost Estimates (TZS)	Estimates Responsible			Implementatio n Time Framework
			(12.5)	Implementation	Supervision		
Nega	tive Project Social Risk	ks and Impacts					
1	Negative economic i	i. Undertake adequate E&S assessment of	1,000,000	Shinyanga Distric	ESMT	During	
	and social impacts	the proposed DSP to determine the		Council E&S Team,		preparation of	
	relating to restrictions	magnitude of impacts.		Ward and village		DSP.	
	on land use resultingii	i. Devise mitigation measures to address		leaders and			
	from DSPs processes	risks and impacts related to the proposed		CSOs			
		DSPs in accordance with the World Bank's					
		ESF (following the exact process					
		described in the Project Resettlement					
		Policy Framework)					
2	Deepening of	i. Provide communities with correct	30,000,000	Participatory Land	ESMT	During	
	insecurity on the fate	project information.		Use Management	t	preparation of	
	of lands among						

S/n	Risks and Impacts			Cost			Implementatio	
			Mitigation Measures	Estimates (TZS)	Responsible			Гime
							Framework	
					Implementation	Supervision		
	Shinyanga	ii.	Strategize engagement of traditional		(PLUM) Team, E&S		DSP	and
	communities.		leaders.		Team and		issuance	of
		iii.	Ensure present and future village land		CSO		CROs	
			use needs are taken into consideration					
			during formulation of DSPs without					
			prejudice the need of other land uses and					
			conservation of the environment.					
3	Confusion of	i.	Map NGOs/CSOs and understand their	5,000,000	E&S Team (LGA),	ESMT	During	
	communities with		mission and objectives.		Participatory Land		preparation	of
	incorrect information	ii.	Provide NGOs/CSOs with project		Use Management		DSP	&
	about the project.		information and where necessary engage		(PLUM) Team,		throughout	the
			them to create sensitization to the		WEOs, CDOs,		project.	
			community.		VEOs, Traditional			
					and religious leaders.			

S/n	Risks and Impacts	Mitigation Measures	Cost Estimates (TZS)	Responsible		Implementatio n Time Framework
			(~)	Implementation	Supervision	
4	Conflict over land	i. Formulation and operationalization	of 30,000,000	Shinyanga District	ESMT	During
	ownership and rights	GRM		Council E&S team,		preparation of
		ii. Sensitization on the importance	of	Ward Executive		DSP and
		joint land titling.		Officer (WEO),		issuance of
		iii. Educate men on the importance	of	Ward Community		CROs.
		including their wives on CROs.		Development Officer		
				(CDO) and Village		
				Leaders		
5	Ineligibility to CROs	i. Identification of all household	's 10,000,000	Shinyanga District	ESMT	During
		ineligible to receive CROs a	nd	E&S Team,		identification of
		formulation of advice on how to addre	ss	Participatory Land		Parcels.
		their situation.		Use Management		
		ii. Identification of households and parce	ls	Team (PLUM),		
		close and within conservation a	nd	National		
		sensitive areas.		Environmental		

S/n	Risks and Impacts	Mitigation Measures	Estimates (TZS)	Responsible Implementation	Impleme n Framew	Time	
		iii. Awareness on ineligibility for CROs.		Management			
		iv. Liaise with TFS, NEMC		Council (NEMC)			
		v. Wetland and water streams	,	TANROADS &			
		TANROADS and TARURA		TARURA, TFS,	,		
		vi. Signage informing potential new settlers	5	Water Basin (Internal	l		
		that those areas cannot be titled and		Drainage Water	•		
		should not be occupied - to avoid further		Basin)			
		occupation, including by those that					
		would like to be compensated.					
6	The CROs issuance	i. Identification of marginalized groups	10,000,000	Shinyanga District	t ESMT	During	Project
	process formalize land	such as people with disabilities	,	E&S Team, Ward	1	Sensitiza	tion
	access inequalities for	women, elders, chronically ill persons	š	Executive Officer		and	
	Women and other	and youth		(WEO), Ward	1	identifica	ation
	Marginalized Group			Community			

S/n	Risks and Impacts	Mitigation Measures		Cost Estimates (TZS)	Responsible			Implement n Framewor	Time
				(125)	Implementati	ion	Supervision		
		ii.	Sensitization on importance of CROs		Development	Officer	•		
			and other project benefits.		(CDO),	Village	;		
					Leaders and C	CSOs.			
7	Gender Based	i.	Engage Police Gender Desk to train	10,000,000	Shinyanga I	District	ESMT	Before	
	Violence / SEA		Project staff on GBV/SEA.		E&S Team,	Ward		placement	of
		ii.	All LTIP staff to sign a code of conduct		Executive	Officer	•	employees	and
			which include GBV/SEA issues.		(WEO),	Ward		during	rural
		iii.	Develop and operationalize GBV		Community			certification	n
			Action Plan for the District.		Development	Officer		process.	
		iv.	Disseminate information about the		(CDO) and	Village	;		
			GRM and encourage population to		Leaders				
			report misconducts						
		v.	Engage relevant government agencies						
			and/ or NGOs in the district who						
			provide support to survivors on GBV						
S/n	Risks and Impacts		Mitigation Measures	Cost Estimates (TZS)	Responsible		Impleme n Framewo	Time	
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				(125)	Implementation	Supervision			
			and SEA such as assistance for medical						
			care, psychosocial support, legal						
			redress, safety, etc. where necessary.						
8	Influx of Laborers	i.	Community awareness on STIs	5,000,000	Shinyanga District	ESMT	During	Rural	
			transmission and basic hygiene		Council Rural		Certificat	ion	
			practice and crimes		Certification Office		Process.		
		ii.	Give employment priority to unskilled		(DRCO)				
			laborers from within project areas.		Shinyanga District				
		iii.	Provision of welfare facilities such as		E&S Team, Village				
			water, toilets and food vending to		Leaders and Private				
			project workers.		Companies involved				
					in rural certification				
					activities.				

S/n				Cost			Impleme	ntatio
	Risks and Impacts			Estimates	Responsible		n	Time
	Risks and Impacts		5	(TZS)			Framewo	ork
				(123)	Implementation	Supervision		
9	Inaccessibility of	i.	Target implementation of rural	7,000,000	Shinyanga District	ESMT	During	Rural
	Project Sites		certification of villages not accessible		Rural Certification		Certificat	ion
			during rainy season during dry season.		Office (DRCO),		Process	
		ii.	Provide suitable transport facilities.		Shinyanga District			
					Council E&S Team,			
					Participatory Land			
					Use Management			
					(PLUM) Team			
10	Possibility of Issuing	i.	Make use of National IDs during	10,000,000	Shinyanga District	ESMT	During	Rural
	CROs to Non-		issuance of CROs		Council Rural		Certificat	ion
	nationals	ii.	Rural formalization team to work		Certification Office		Process	
			closely with local leaders to confirm		(DRCO), Shinyanga			
			citizenship of Project beneficiaries.		District E&S Team,			
					Ward Executive			
					Officer (WEO),,			

S/n	Risks and Impacts		Mitigation Measures	Cost Estimates (TZS)	Responsible		Implementatio n Time Framework
					Implementation	Supervision	
					Ward Community Development Officer (CDO), Village Leaders and Migration Teams		
	Physical and	li.	The project will address all physical and	8,500,000	_	ESMT	Prior to project
	Economic Impacts	ii.	economic displacement in line with the requirements of the Resettlement Policy Framework (RPF) and the Vulnerable Groups Planning Framework (VGPF) where relevant. Adjudication to try to minimise land take and loss of assets from any given household through negotiated agreements.		Officer (WEO) Ward Community Development Officer (CDO), Village Leaders and Migration Teams		activity

S/n	Risks and Impacts		Mitigation Measures	Cost Estimates (TZS)	Responsible		Implementatio n Time Framework
				(12.5)	Implementation	Supervision	
		iii.	Surveying will ensure all the plots are				
			viable and of acceptable sizes to enable	;			
			their continued use after land take.				
		iv.					
Nega	tive Project Environn	ienta	l Risks and Impacts				
1	Negative	i.	Undertake adequate E&S assessment	5,000,000	PLUM and E&S	ESMT	During
	environmental impacts		of the proposed VLUP to determine the		Team		preparation of
	relating to land use		magnitude of impacts.				VLUP.
	classification	ii.	Devise mitigation measures to address				
	adjustments resulting		risks and impacts related to the	;			
	from Review of the		proposed VLUP in accordance with the				
	GN		World Bank's ESF.				

S/n	Risks and Impacts	Mitigation Measures	Cost Estimates (TZS)	Responsible		Implementatio n Time Framework
				Implementation	Supervision	
2	Encroachment to	Make use of Annex 6 of the ESMF to ensure	5,000,000	PLUM, E&S Team,	ESMT	Before
	Environmental	that boundaries between national parks,		National		placement of
	Sensitive Areas	reserve and grazing land are made clear during		Environmental		employees and
		preparation of DLUPF, DSPs and before		Management		during rural
		issuance of CROs issuance.		Council (NEMC),		certification
				TANROADS &		process.
				TARURA, TFS,		
				Internal Drinage		
				Water Basin Board		
				(IDWB)		
3	Soil Erosion, oil spills	i. Undertake tree and grass planting	5,000,000	Shinyanga District	ESMT	During Rural
	and Solid Waste	ii. Provision of dustbins in all project		Council E&S Team,		Certification
	Generation	areas		Private Companies		Process.
		iii. Use of welfare facilities such as toilets		involved in		
		and water		certification		

S/n	Risks and Impacts		Mitigation Measures	Cost Estimates (TZS)	Responsible		Impleme n Framewo	Time
					Implementation	Supervision		
		iv.	No refuse, waste oils should be		activities, Village			
			discharged into drains or onto site		Leaders			
			grounds.					
4	Health and Safety	i.	Provision of PPEs (Mask, Boots,	10,000,000	Shinyanga District	ESMT	During	Rural
	Hazards		Gloves and Helmet) to workers.		Council E&S		Certificat	ion
		ii.	Implementing Institution		Team, Private		Process.	
			(LGA/Private/CSO) must make due		Companies			
			diligence on OHS risk management,		involved in			
			including primary suppliers	,	certification			
			workers.		activities and			
		iii.	Training drivers of direct and		Village Leaders			
			indirect teams on road safety					
		iv.	Implementing agencies to enforce					
			rules for drivers and passengers.					

S/n	Risks and Impacts	Mitigation Measures	Cost Estimates (TZS)	Responsible		Implementatio n Time Framework
				Implementation Supervision		
		v. Provide Health and safety Training				
		to project workers				
5	OHS risks for primary	Contractor to conduct OHS due diligence	1,500,000	Contractor	ESMT	During
	supplier workers	assessment of primary supplier				contracting
	GRAND TOTAL		152,500,000	L		1

CHAPTER FIVE

5.0 MONITORING OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS

5.1 Introduction

Monitoring establishes benchmarks which are used to assess the level of compliance with ESMP. Monitoring will involve the continuous or periodic review of mitigation activities to determine their effectiveness. The monitoring plan in this report specifies the institution arrangement for execution of ESMP. In particular, it clarifies type of monitoring, who will carry out monitoring and what other inputs such as training are necessary.

The objectives of Environmental and Social monitoring plan are:

- i. To monitor the effectiveness and implementation of ESMP during planning and CCROs issuance phases of proposed mitigation measures;
- ii. To confirm compliance with environmental, social and safety legislation/regulations during certification as well as safeguards tools and instrument in pace;
- iii. To control the risks and ecological/social impacts;
- iv. To ensure best practices management as a commitment for continuous improvement in environmental and social performance;
- v. To provide environmental information to community/stakeholders;
- vi. To provide early warning signals on potential environmental degradation for appropriate actions to be taken so as to prevent or minimize environmental consequences;

Table 5 below summarizes monitoring plan for rural certification in Shinyanga DistrictCouncil.

 Table 5: Social and Environmental Monitoring Plan

S/N	Environmental/ Social Impacts	Monitoring Parameters	Targets/Legal	Monitoring	Frequency	Host institution	Monitoring
			Standards	Methods	/Duration	Supervising	Budget
						institutions	
	Enhancement of Social Benefits						
i.	Security of Tenure	No. of CROs issued in each	50,000	ILMIS data	Quarterly	ESMT & PIT	4,000,000
		street					
ii.	Capital Creation	No. of Beneficiaries using	<u>;</u> 10	Project report	Quarterly	ESMT & PIT	1,000,000
		CROs to secure capital.					
iii.	Reduction of land conflicts	No. of land conflicts	20	Project report	Quarterly	ESMT & PIT	1,000,000
		identified and resolved as	5				
		part of the CROs issuance					
		process					
iv.	Employments Opportunities	No. of people employed	50	Report	Quarterly	ESMT & PIT	1,000,000
Enhano	cement of Environmental Benefits	1	I				1
v.		Number of CRO issued in	I -	Report	Quarterly	ESMT & PIT	1,000,000
		sensitive areas					
L			1	1			L

S/N	Environmental/ Social Impacts	Monitoring Parameters	Targets/Legal	Monitoring	Frequency	Host institution	Monitoring
			Standards	Methods	/Duration	Supervising	Budget
						institutions	
vi.	Enhancement of protection of	Number and hectares or	100 Ha	VLUP	Six Months	ESMT & PIT	1,000,000
	sensitive areas and minimization of	village land declared as		Reports			
	Conflicts	forest reserve					
vii.	Protection of Common resources	Presence of group of people	,	Scheme of	Annually	ESMT & PIT	1,000,000
		owning jointly grazing	,	regularizatio			
		lands issued with CROs		n & Reports			
Social N	egative Risks and Impacts		I	1	I	I	
i.	Lack of communities	i. Acceptance of the	50,000	Reports	Quarterly	ESMT & PIT	1,000,000
	understanding of LTIP activities	LTIP activities by	,				
		the communities					
		in the Shinyanga					
		district					
		ii. Participation of	• •				
		traditional leaders					
		in LTIP activities.					

S/N	Environmental/ Social Impacts	Monitoring Parameters	Targets/Legal	Monitoring	Frequency	Host institution	Monitoring
			Standards	Methods	/Duration	Supervising	Budget
						institutions	
iii.	Ineligibility to CCROs	No of parcels identified as	10	Report	Quarterly	ESMT & PIT	500,000/=
		ineligible for rural land					
		certification					
iv.	Inequalities for Women and Other	Tailored local information	1000	Report	Quarterly	ESMT & PIT	1,000,000
	Marginalized Group	campaigns organized with					
		the support of NGO - CSOs					
		Nnumber of Project staff	100	Report	Quarterly	ESMT & PIT	1,000,000
		trained on women land					
		rights and how to encourage					
		the registration of women's					
		land rights as part of the					
		CCRO process					
		Percentage of Women with	30%	Report	Quarterly	ESMT & PIT	500,000
		CROs.					
v.		Marginalized Group with	10%	ILMIS	Quarterly	ESMT & PIT	1,000,000
		CROs.		Report			

S/N	Environmental/ Social Impacts	Monitoring Parameters	Targets/Legal	Monitoring	Frequency	Host institution	Monitoring
			Standards	Methods	/Duration	Supervising	Budget
						institutions	
vi.	Gender Based Violence	Percentage of grievances	100%	Report	Quarterly	ESMT & PIT	500,000
		that have been successfully	r				
		resolved					
vii.	Influx of Laborers	Percentage of laborers	40%	Report	Quarterly	ESMT & PIT	500,000
		employed from within the					
		project areas.					
iii.	Inaccessibility of Project Sites	No of Village identified as	50	Report	Quarterly	ESMT & PIT	500,000
		not accessible					
ix.	Land conflicts	Number of resolved land	tbd	Report/GRM	Quarterly	ESMT & PIT	0
		disputes					
Sub T	otal		I				24,000,000
		Environmental Neg	ative Risks and	I Impacts			L
х.	Waste Management	No. of dustbins provided in	3 dustbins @	Report and	Quarterly	ESMT & PIT	15,000,00
		working streets	each street	observation			
xi.	Health and Safety Hazards	No. of incidence and	0	Report	Quarterly	ESMT & PIT	1,000,000
		accidents reported.					

S/N	Environmental/ Social Impacts	Monitoring Parameters	Targets/Legal	Monitoring	Frequency	Host institution	Monitoring
			Standards	Methods	/Duration	Supervising	Budget
						institutions	
kii.	Greenhouse gas emissions	No. Service Conducted	Service at	Maintenance	Quarterly	ESMT & PIT	12,000,000
			every 5000 Km	Report			
iii.	Monitoring of oil spills	No of drums (100 liters)	1 Drums in	Report	Quarterly	ESMT & PIT	4,000,000
		distributed for collect dirty	each LGA				
		oil					
iv.	OHS risks for primary supplier	Percentage of primary	100%	Report	Quarterly	ESMT & PIT	4,000,000
	workers	suppliers that have					
		undergone an OHS due					
		diligence assessment by					
		contractors					
Total	1	1		1	1		36,500,000

CHAPTER SIX

6.0 INSTITUTIONAL ARRANGEMENTS FOR IMPLEMENTATION OF ESMP

6.1 Institutions

The implementation of ESMP will follow the plan stipulated in ESMF. For Shinyanga District Council, the following institutions shall be involved in the implementation of this ESMP

Shinyanga District Council Rural Certification Office: This will be responsible for daily certification activities which will involve support to Shinyanga District Council E&S Team.

Shinyanga District Council E&S Team: This will be responsible for implementation of the E&S activities including the proposed mitigation and enhancement measures with the support from DURCO.

District Land Use Plan Framework (DLUPF) Team: This will be responsible for identifying different uses within the district.

Participatory Land Use Management (PLUM) Team: This will be responsible for identification of households residing along road reserve, gullies and river streams.

National Environmental Management Council (NEMC), Lake Victoria Zone: Will provide further guidance on households residing along, gullies and river streams.

Internal Drainage Basin Water Board (RSCBWB: To oversee sustainable use of water resources and provide guidance whenever needed during land certification activities done by LTIP.

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Tanzania Rural and Urban Road Agency (TARURA) and Tanzania Road Agency (TANROADS), Shinyanga Region: Will provide further guidance on households residing along the roads including payment of compensation where applicable.
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Ward and Village Leaders: These will be involved in conflict resolutions through operationalization of project GRM, identification of marginalized groups such as women, elders, chronically ill persons and youth, and sensitization on importance of CROs, waste management, GBV/SEA matters, health and safety and other project related benefits.

6.2 Supervision and Monitoring Roles

Project Environment and Social Management Team (ESMT): shall be responsible for ensuring compliance with ESMPs. In particular, the team will conduct regular audits and prepare the reports that demonstrate the suggested ESMP are being implemented accordingly. The team will be required to submit monthly reports to MLHHSD. The MLHHSD through PCU then will be required to submit quarterly reports on ESMP implementation to the World Bank.

6.3 Capacity Development and Training

Capacity development training for LTIP is stipulated in ESMF. For Shinyanga District council the following training have been provided to E&S Team at LGAs levels to enhance their capacity during preparation of DLUPF (**Table 6**). Several awareness raising meetings were also conducted with different stakeholders during preparation of DLUPF.

Table 6::Training conducted to Shinyanga E&S Team

S/N	Name of Training	Training	Date	Cost (Tsh)
		Institutio		
		n		
i.	Environmental and Social	World	13 th - 14 th December	15,000,000
	Framework Training to LGAs E&S	Bank	2022	
	Teams			
ii.	Workshop for Review of the ESMPs	ESMT	3 March 2023	18,000,000

Other E&S trainings are planned for Shinyanga District Council to enhance their capacity to implement this ESMP will be as follow.

- i. Health and safety training to project drivers and field teams.
- Training on implementation of ESMP to private firms to be conducted prior to certification process;
- Training of code of conducts for GBV/SEA and ethics practice to ESMT and Shinyanga District Council E&S Team.

CHAPTER SEVEN

7.0 CONCLUSIONS AND RECOMMENDATIONS

7.1 Introduction

This ESMP is specifically for Shinyanga District Council LTIP activities. It proposes mitigation measures to minimize the adverse impacts, while enhancing the positive ones. The assessment and evaluation process of the proposed project activities indicates that the project will bring net social benefits within the project area. Negative implications of this project have been identified, and need to be mitigated, in order to make this project environmental and socially sound.

7.2 Conclusions

Given the importance of multiple land uses in Shinyanga, ESMP shall be an important tool for facilitation of stakeholder's engagement and sensitization so as to affirm with proposed land uses, village boundaries and access to CROs.

The social benefits of this project to include enhanced security of tenure, capital creation, effective land control and management, reduction of cost associated with informal land transaction, and employments opportunities. Apart from the positive impacts, this ESMP also identified some negative implications associated with the proposed interventions, which need to be mitigated in order to ensure project acceptability and sustainability. Among the negative impacts are: Conflict over land use and land rights, ineligibility for some people to obtain CROs, inequalities for women and other marginalized group, likely of emergence of gender-based violation, influx of laborers, soil erosion and dust, generation of waste, and health and safety hazards.

To address the aforementioned risks and impacts, the ESMP include a comprehensive Environmental and Social Management Plan (ESMP) and a Monitoring Plan for proper implementation of the project and reduction of the negative effects from the project. The MLHHD is committed to effect this ESMP through ensuring that enough budget, human resources and logistics are available.

7.3 Recommendations

To ensure the successful implementation and sustainability of the project, several key recommendations have been outlined. These recommendations are designed to provide clear guidelines for project execution, stakeholder engagement, and impact mitigation. The following points detail the necessary steps to be taken to align with the Environmental and Social Management Plan (ESMP):

- 1. Distribution of ESMP: A copy of this ESMP should be provided to all villages where the project is implemented.
- Contractual Obligations for NGOs/CSOs: NGOs and CSOs hired to conduct the certification process in Shinyanga District Council must receive this ESMP as part of their contract to ensure implementation by the team in Shinyanga District Council, with support from the ESMT.
- 3. Stakeholder Consultation: The PLUM (Environmental and Social Team LGA) must ensure meaningful consultation with all key and relevant stakeholders.
- 4. Budget Allocation: An adequate budget must be allocated to facilitate the implementation of mitigation measures to prevent adverse project impacts on the environment and the community, and to enhance project benefits.
- 5. Stakeholder Training: Training on environmental and social (E&S) issues is crucial for achieving the objectives of this ESMP. All key stakeholders identified in this ESMP must be trained to ensure smooth implementation of E&S issues during the project.

Ward	Villages	Description
TINDE	Jomu Kituli Buchama Ngokolo Nhumbili Nyambui	Population: As per NBS, 2022 there are 21,196 people (10,404 male and 10,792 female). Social service: There are 5 primary schools, 2 secondary schools, 1 health centre, 1 Dispensary and 1 resource centre. Topography: The area has gentle slope Economic activities: Agriculture, Industrial, Livestock keeping and Trade
Didia	Didia Mwamalulu Bukumbi Mwanono Chembeli	Population: As per NBS, 2022 there are 19,093 people (9,533 male and 9,560 female). Social service: There are 5 primary schools, 3 secondary schools, 1 health center, 2 Dispensary. Topography: The area has gentle slope Economic activities: Agriculture, Industrial, transportation, mining, Livestock keeping and Trade
Solwa	Mwakatola Manheighana mwasekagi Mwiseme Solwa Mwandutu Mwabuki	Population: As per NBS, 2022 there are 31,542 people (15426 male and 16116 female). Social service: There are 8 primary schools, 2secondary schools, 3 Dispensaries Topography: The area has gentle slope Economic activities: Agriculture, small industries Livestock keeping and Trade
Salawe	Songambele	Population: As per NBS, 2022 there are 25,010 people of which 12,141 are male and 12,869 are

appendix 1: Description of Wards in which LTIP will be executed

		female.
	Amani	Social service:
	Buduhe	There are 8 primary schools, 2 secondary schools,
	nzoza azimio	1 health centre, 0 Dispensary and 1 resource centre.
		Topography: The area has gentle slope
		Economic activities: Agriculture, Industrial,, Livestock keeping and Trade
Mwenge	Mwongozo Mwenge Zunzuli Ipango	 Population: As per NBS, 2022 there are 22,230 people of which 11560 are male and 10,670 are female. Social service: There are 4 primary schools, 1 secondary schools, 1 Dispensary. Topography: The area has gentle slope Economic activities: Agriculture, Industrial, transportation, mining, Livestock keeping and Trade
Mwakitolyo	Mahembe Mawemilu Mwasenge Mwakitolyo Nyaligongo Nyangombe	 Population: As per NBS, 2022 there are 38047 people of which 20542 are male and 17505are female. Social service: There are 8 primary schools, 1 secondary schools, 2 Dispensary. Topography: The area has gentle slope Economic activities: Agriculture, Industrial, transportation, mining, Livestock keeping and Trade
Iselamagazi	Mwamakaranga ibubu	Population: As per NBS, 2022 there are 27665 people of which 13220 are male and 14445 are

	Ngomango Mwabundala Ichongo Iselamagazi	female. Social service: There are 9 primary schools, 2 secondary schools, 1 Hospital 1 health centre, 0 Dispensary and 1 resource centre. Topography: The area has gentle slope Economic activities: Agriculture, small Industries Livestock keeping and Trade
Samuye	Ishinabulandi Idodoma, Isela, Ibingo and Mwangalanga social	Population: As per NBS, 2022 there are 15294 people of which 7557 are male and 7737 are female. Social service: There are 7 Primary schools, 1 secondary schools, 1 health centre, and1 resource centre. Topography: The area has gentle slope Economic activities: Agriculture, Livestock keeping and Trade
Usanda	Singita Manyada Nzagaluba, shabuluba Ngaganulwa	Population: As per NBS, 2022 there are 17052 people of which 845 male and 8600 are female. Social service: There are 5 primary schools, 1 secondary schools, 1 health center, 1 Dispensary and 1 resource center. Topography: The area has gentle slope Economic activities: Agriculture, Industrial, transportation, mining, Livestock keeping and Trade

Appendix 2:E&S Safeguard Criteria for Selecting specific Project Areas in Shinyanga district Council.

SN	Area /	Criteria	Applicability	Guiding Remarks
	issue of		(Yes/No)	
	concern			
1	Area/villag	-Boundaries of the	YES	Certification process can proceed
	e bordering	reserved area and the		as boundaries are clear and
	reserved	village are clear and well		certificates will not be issued in
	areas such	identified		reserved areas
	as forest,	-There is encroachment	NO	The issue of boundary should be
	National	between the village and		resolved between stakeholders
	parks,	the reserved area and the		such as the villagers, Tanzania
	game	boundary is not clearly		Forest Services, other
	reserves	known		stakeholders and mediators using
				available laws and regulations
				before proceeding with the
				certification
		-There is encroachment	YES	The issue of encroachment should
		between village and the		be resolved using available rules
		reserved area although		and regulations before proceeding
		the		with any certification activities
		boundary is well known		
		to all the parties		
2	Area/villag	-Settlement is found	NO	Under the Environmental
	e bordering	60m away from the bank		Management Act of 2004,
	rivers and	of the lake/river as per		settlements found to be at least
	lakes	the		60m from shore line/ bank can be
		Environmental		considered for certification

		Management A at af 2004		
		Management Act of 2004		
		-Settlement is found	NO	Clarification should be obtained
		within 60m from the		from NEMC on how to proceed
		banks of the river/lake		with the certification, if given
		but no floods or any other		permission the process should
		risk is associated		proceed. The MLHHSD will
		lisk is associated		clarify with NEMC in advance
				before project commencement on
				the issue so that it is included in
				the process manual to avoid
				delays during project
				implementation
		-Settlement is found	NO	The area is considered hazardous
		within 60m from the		and no certification should be
		banks of the river/lake		conducted. The MLHHSD will
		and are associated with		clarify with NEMC in advance
		floods and other risks		before project commencement on
				the issue so that it is included in
				the process manual to avoid
				delays during project
				implementation
3	Area/villag	-Village/area is found	YES	Wetlands/water catchment areas
	e/	close to or bordering		are considered as areas with high
	settlements	wetlands and/or		biological importance and are
	bordering	watershed areas. The		protected by national and
	wetlands	area/village boundary		international laws and
	and water	should maintain a 60m		agreements.
	catchment	distance as per the		Under such circumstances the
	areas	national laws		conservation status of such areas
				will be established from relevant

				authorities as per the Water Act of
				2019, Environmental
				Management Act of 2004, and
				other national and international
				laws. When identified as per the
				laws and regulations, such areas
				will be considered hazardous and
				certification will not proceed in
				these areas
4	Wildlife	-Villages borders wildlife	NO	Such areas should be identified by
	areas,	areas, wildlife corridors or		relevant authorities (Tanzania
	corridors or	migratory routes		Wildlife Authority, Ngorongoro
	migratory			Conservation Area Authority, etc)
	routes			and no certification should be
				allowed in such areas.
5	Livestock	-Village has communal	NO	These areas should be given
	grazing	land reserved for livestock		certificates in the name of the
	areas and	grazing only or for		village for communal use. No one
	stock routes	established stock routes		within the village should be denied
				access as a result of certification
				process. Individual titles should
				not be provided in these areas.
6	Cultural	-Boundaries of the	YES	Certification process can proceed
	Heritage	registered cultural heritage		as boundaries are clear and no
	Sites	area and the village are		certificates will be issued in
		clear and well identified		reserved areas determine whether
				the area should be considered or
				not
7	High density	-High density informal	YES	In the issuance of Residential
	informal	settlements in urban		Licenses, clarification may need to
	settlements	settings which are not		be sought from NEMC and other
				relevant authorities before decision
I	I			

	aligned with planning		is made to proceed with RL in case
	requirements.		of any other environmental
			constraints.
	-There is encroachment	NO	The issue of boundary should be
	between the village and		resolved between stakeholders
	the registered cultural		using available laws and
	heritage site and the		regulations before proceeding
	boundary is not clearly		with the certification
	known		
	-There is encroachment	NO	The issue of encroachment should
	between village and the		be resolved using available rules
	registered cultural heritage		and regulations before proceeding
	site although the boundary		with any certification activities
	is well known to all the		
	parties		
	The village contains a	YES	The areas should be agreed as part
	locally important cultural		of the village land use plan, bylaws
	site which is not protected.		for use agreed and should be
			certified for communal use in the
			name of the village
8 Flo	ood prone Settlement is located in	YES	These are considered as hazardous
are	as flood prone areas which		land and no certification process
	may be restricted for any		should proceed, in case no clear
	development activities		boundary is seen, clarification will
			be sought from NEMC who will

- i. Lyabusu village in Iselamagazi ward borders Nindo reserve area that also experiences enchrochment of villagers in the reserve area borders.
- ii. Mwakitolyo Salawe and mwenge village bordering wetlands and water catchment.
- iii. In Mwakitolyo, ward and Salawe ward borders the registered cultural heritage area and the village are clear and well identified
- iv. Lyabusalu village in Solwa ward has Livestock grazing areas and stock routes.

- v. In Mwakitolyo, Salawe, Solwa, Mwenge, Iselamagazi and Lyabusalu has High density informal settlements in urban settings which are not aligned with planning requirements
- vi. Mwakitolyo Solwa village has contains a locally important cultural site which is not protected
- vii. Mwasenge Village and Mwakitolyo Village has the Settlement is located in flood prone areas which may be restricted for any development activities.